

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: Universal Sompo General Insurance Company Limited

Registration Number: 134

Statement as on: March 31, 2023

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)
(Business within India)

Periodicity of Submission: Quarterly

Section I		(₹ in Lakhs)	
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	84,069
	Investments (Policyholders)	8A	3,54,525
2	Loans	9	-
3	Fixed Assets	10	4,709
4	Deferred Tax Assets		1,496
5	Current Assets		
	a. Cash & Bank Balance	11	18,249
	b. Advances & Other Assets	12	84,930
6	Current Liabilities		
	a. Current Liabilities	13	3,31,118
	b. Provisions	14	89,342
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		
	Application of Funds as per Balance Sheet (A)		1,27,517
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	4,709
3	Deferred Tax Assets		1,496
4	Cash & Bank Balance (if any)	11	18,249
5	Advances & Other Assets (if any)	12	84,930
6	Current Liabilities	13	3,31,118
7	Provisions	14	89,342
8	Misc. Exp not Written Off	15	-
9	Investments held outside India		
10	Debit Balance of P&L A/c		
	Total (B)		(3,11,076)
	'Investment Assets'	(A-B)	4,38,594

Section II

(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM ⁺						
			(a)	(b)						
1	Central Govt. Securities	Not less than 20%	-	24,457.97	1,03,141.12	1,27,599.08	29.09	-	1,27,599.08	1,23,907.84
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	33,113.41	1,39,641.79	1,72,755.20	39.38	-	1,72,755.20	1,68,244.20
3	Investment subject to Exposure Norms		-	50,966.72	2,14,930.55	2,65,897.27	60.62	(58.61)	2,65,838.66	2,62,054.74
	a. Housing / Infra & Loans to SG for Housing and FFE	Not less than 15%								
	1. Approved Investments		-	25,373.24	1,07,000.88	1,32,374.12	30.18	19.40	1,32,393.52	1,29,786.83
	2. Other Investments		-	-	-	-	0.00	-	-	-
	b. Approved Investments	NOT exceeding 55%	-	25,192.03	1,06,236.72	1,31,428.75	29.96	(25.45)	1,31,403.30	1,30,226.07
	c. Other Investments		-	401.45	1,692.95	2,094.40	0.48	(52.56)	2,041.83	2,041.83
	Investment Assets	100%		84,080.13	3,54,572.34	4,38,652.47	100.00	(58.61)	4,38,593.86	4,30,298.94

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
 - Other Investments' are as permitted under 27A(2)
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 - SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
 - Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: Universal Sampo General Insurance Company L

Registration Number: 134

Statement as on: March 31, 2023

Statement of Accretion of Assets

(Business within India)

Periodicity of Submission : Quarterly

(₹ in Lakhs)

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities		1,24,189	32.75	3,410	5.73	1,27,599	29.09
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)		1,66,295	43.86	6,461	10.86	1,72,755	39.38
3	Investment subject to Exposure Norms							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments		46,298	12.21	11,039	18.56	57,336	13.07
	2. Other Investments							
	b. Infrastructure Investments							
	1. Approved Investments		67,547	17.81	6,854	11.52	74,402	16.96
	2. Other Investments							
	c. Approved Investments		97,276	25.65	34,788	58.49	1,32,065	30.11
	d. Other Investments (not exceeding 15%)		1,761	0.46	334	0.56	2,094	0.48
	Total		3,79,177	100.00	59,476	100.00	4,38,652	100.00

Note:

- Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
- Investment Regulations, as amended from time to time, to be referred